1	IN THE SUPREME COURT OF THE UNITED STATES
2	x
3	ALBERTO R. GONZALES, :
4	ATTORNEY GENERAL, :
5	Petitioner :
6	v. : No. 05-380
7	LEROY CARHART, ET AL. :
8	x
9	Washington, D.C.
10	Wednesday, November 8, 200
11	
12	The above-entitled matter came on for ora
13	argument before the Supreme Court of the United States
14	at 10:05 a.m.
15	APPEARANCES:
16	GEN. PAUL D. CLEMENT, ESQ., Solicitor General,
17	Department of Justice, Washington, D.C.; on behalf o
18	the Petitioner.
19	PRISCILLA SMITH, ESQ., New York, N.Y.; on behalf of the
20	Respondent.
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1	PROCEEDINGS
2	(10:05 a.m.)
3	CHIEF JUSTICE ROBERTS: We'll hear argument
4	first this morning in case 05-380, Gonzales vs. Carhart.
5	General Clement.
6	ORAL ARGUMENT OF GEN. PAUL D. CLEMENT
7	ON BEHALF OF PETITIONER
8	GENERAL CLEMENT: Mr. Chief Justice, and may
9	it please the Court:
LO	Congress held six hearings over four
L1	different Congresses and heard from dozens of witnesses
L2	in determining that partial-birth abortions are never
L3	medically necessary, pose health risks, and should be
L 4	banned. Under familiar principles of deference to
L5	congressional fact-finding, those determinations should
L 6	be upheld as long as they represent reasonable
L7	inferences based on substantial evidence in the
L8	congressional record.
L9	That standard is amply satisfied here. The
20	evidence before Congress was clear that partial-birth
21	abortions were never medically necessary, and that safe
22	alternatives were always available such that no woman
23	would be prevented from terminating her pregnancy. As a
24	result, Congress was entitled to make a judgment in
25	furthering its legitimate interests that they were going

- 1 to ban a particularly gruesome procedure that blurred
- 2 the line between abortion and infanticide.
- JUSTICE GINSBURG: General Clement, couldn't
- 4 a similar record be made with respect to what is the
- 5 more common procedure, the D&E, that involves
- 6 dismemberment of a fetus inside the womb. So assuming
- 7 you're right that it is constitutional for Congress to
- 8 ban the D&X proceeding, wouldn't the same reasoning
- 9 apply, couldn't Congress make similar findings with
- 10 respect to what is the most common method for second
- 11 trimester abortions?
- 12 GENERAL CLEMENT: I don't think so, Justice
- 13 Ginsburg, and I think that this Court's precedence, in
- 14 particular the Danforth case, would stand as an obstacle
- 15 to that piece of legislation, because in Danforth, this
- 16 Court struck down an effort to ban what was then the
- 17 majority method of inducing a second term abortion.
- And I think in the same way, there is quite
- 19 a different situation when Congress comes in and tries
- 20 to deal with the primary abortion method in the second
- 21 trimester. Here, though, Congress didn't go after the
- 22 dog, so to speak, it went after the tail. This very
- 23 aberrant procedure, atypical procedure. And the numbers
- 24 are hard to come by, but I don't think anybody suggests
- 25 that the D&X procedure is anything more than a very

- 1 small minority of second trimester abortions. And so I
- 2 do think --
- 3 JUSTICE GINSBURG: Even though we are told
- 4 by some of the medical briefs that the procedure is
- 5 basically the same, they start out in the same way and
- 6 that the difference -- the differences are not large in
- 7 particular cases.
- 8 GENERAL CLEMENT: Well, Justice Ginsburg,
- 9 let me make a couple of points in response to that. I
- 10 think -- taken at the broader level first, I think there
- is one very important difference between these two
- 12 procedures that led Congress to ban one and allow the
- 13 other to stand. And that is whether fetal demise takes
- 14 place in utero, which is, of course, the hallmark of all
- 15 abortions, or whether fetal demise takes place when the
- 16 fetus is more than halfway out of the mother.
- Now, as to their suggestion, I think most
- 18 particularly by Respondents in the second case, that
- 19 there really is no meaningful difference between those
- 20 two procedures. And with respect, I just don't think
- 21 the record supports that. If you look at the record in
- 22 this case, it's very clear in the district court opinion
- 23 that you have some doctors, and examples would be
- 24 Plaintiff's expert, Dr. Creinin, or one of the Nebraska
- 25 Plaintiffs, Dr. Vibhakar. They go in, in each and every

- 1 case, and try to perform a dismemberment, or D&E,
- 2 procedure.
- And because they're trying to perform the
- 4 D&E procedure, they need to dilate the cervix only
- 5 modestly. And so Dr. Creinin, for example, his
- 6 testimony is he only dilates the cervix two centimeters
- 7 or two and a half centimeters.
- Now, in contrast, you have other doctors,
- 9 and here the examples I would point to are two of the
- 10 Plaintiff's experts, Dr. Chasen and Dr. Frederickson,
- 11 they, in every single case, set out to perform the D&X
- 12 procedure. And that has material differences. For
- 13 example, the dilation regimen that they use. And so
- 14 Dr. Frederickson, for example, uses multiple sets of
- 15 laminaria to dilate the cervix, and she gets a much
- 16 greater degree of dilation, 5 to 6 centimeters of
- 17 dilation.
- And of course, not only do they set out to
- 19 perform different procedures, but they, in fact, perform
- 20 different procedures. So the evidence here again
- 21 reflects that Dr. Vibhakar, for example, in 100 percent
- of the cases, ends up performing a dismemberment
- 23 procedure, or a D&E procedure. For Dr. Creinin, it's 99
- 24 percent.
- Now, by contrast, Dr. Chasen and

- 1 Dr. Frederickson, when they set out to perform a D&X
- 2 procedure, they are successful in their objective less
- 3 often. There are different numbers for different
- 4 doctors, but it seems that, at most, they can achieve
- 5 their objective about a third of the time.
- JUSTICE KENNEDY: Well, didn't those doctors
- 7 testified in the congressional hearings or in the Eighth
- 8 Circuit or Ninth Circuit or the Second Circuit? There
- 9 are so many doctors here. Which are the two that you're
- 10 referring to that do not dilate the cervix fully? Did
- 11 they testify in any of the district court cases?
- 12 GENERAL CLEMENT: They did, Justice Kennedy,
- 13 and in particular, Dr. Creinin is an expert. I think
- 14 his deposition was taken, or his testimony was taken
- 15 principally in the California case, but it was
- 16 introduced in all three cases as part of the evidentiary
- 17 record. Dr. Vibhakar is one of the Plaintiffs in this
- 18 particular case. And Dr. Chasen and Dr. Frederickson
- 19 would also -- their testimony was in the record, I
- 20 think, in all three cases.
- 21 JUSTICE BREYER: Just from my going through
- 22 this record, I compare it to Stenberg, with what's in
- 23 Congress. We have two cases here. And it's a fair
- 24 conclusion that there are, in each case, before Congress
- and in here, there are some doctors who think this is

- 1 safe and some doctors who think it isn't safe.
- 2 And if you look at the -- sort of by
- 3 counting, by numbers, I guess if you look by lines of
- 4 testimony or by different doctors, interestingly enough,
- 5 it seems to me there are more doctors in these two cases
- 6 and in front of Congress who said it is not safe than
- 7 there were when we considered the other case. And there
- 8 are fewer doctors who say it is safe even with the other
- 9 case. So I don't know if you're supposed to count
- 10 doctors or what.
- 11 My question would be, if this -- do we owe
- 12 more deference to a congressional finding or to Congress
- 13 than we owe to a state legislature? What is -- I mean,
- 14 I take it a state legislature is democratically elected,
- and don't we owe similar deference to both?
- 16 GENERAL CLEMENT: Well, Justice Breyer, I
- 17 think you certainly owe deference to both. I think --
- 18 JUSTICE BREYER: Well, if we owe deference
- 19 to both, and I would have thought that we did, then I
- 20 think in the Nebraska case, despite the deference that
- 21 was owed, the Court came to the conclusion that the
- 22 statute of Nebraska was unconstitutional because it
- 23 lacked an exception for the health of the mother,
- 24 something that came from preceding cases. So if giving
- 25 deference to Nebraska, we reach that conclusion there,

- 1 and if the deference that is owed is the same, and if
- 2 the evidence is about the same on both sides, how can we
- 3 reach a different conclusion here?
- 4 GENERAL CLEMENT: Well, Justice Breyer, I
- 5 mean, obviously I'm at a certain deficit to you in
- 6 discussing what this Court held in the Stenberg opinion,
- 7 which you wrote. But my reading of that opinion is that
- 8 this Court did not focus on what was before the Nebraska
- 9 legislature. But this Court focused on what the
- 10 district court found. And in particular, in the
- 11 critical part of the opinion, which would be Section
- 12 2(A) of the opinion, as I read the opinion, what this
- 13 Court did is it confronted Nebraska's argument that the
- 14 D&X procedure was not, in fact, safer.
- 15 And the first thing this Court did is said,
- 16 well, that argument faces guite a burden, because the
- 17 district court made a contrary finding. And then this
- 18 Court in 2(A)(1) of the opinion referenced that finding,
- 19 and four different times cited the district court
- 20 record, and then so on and so forth. It then noted the
- 21 various eight arguments were made by the state in its
- 22 amici to the contrary. And as I read the opinion, it
- 23 basically says the latter of the objections don't
- 24 outweigh the former findings.
- Now, I think if you compare the record

- 1 before the courts and before Congress, compare that to
- 2 what was before the district court in Stenberg, I think
- 3 there is a much more robust factual record here. If you
- 4 look at the Stenberg case --
- 5 JUSTICE STEVENS: General Clement, are not
- 6 some of the findings by Congress clearly erroneous? For
- 7 example, there is a statement that no current medical
- 8 schools provide instruction in the procedure. Now
- 9 that's clearly wrong, isn't it?
- 10 GENERAL CLEMENT: Well, I mean, specifically
- 11 what Congress found in that finding was that none of
- 12 them provided it as part of a curriculum. And I think
- 13 what the record here clearly reflects -- you know, I
- 14 don't know that the idea of a curriculum -- I don't know
- 15 exactly what Congress had in mind. But clearly, it's a
- 16 matter of teaching residents --
- JUSTICE STEVENS: Do you think that finding
- 18 is correct?
- 19 GENERAL CLEMENT: I don't know if it's
- 20 correct, based on the curriculum.
- 21 JUSTICE STEVENS: Supposing there was a lot
- 22 of evidence introduced in the district court that there
- 23 were schools like Yale and New York University that did
- 24 include this as part of a curriculum, could the district
- 25 court disregard that finding and make a contrary

1	finding?
2	GENERAL CLEMENT: I think if the evidence in
3	the district court were overwhelmingly to the contrary,
4	I think that the district court could effectively
5	undermine that one finding. I don't think in this case
6	anything turned
7	JUSTICE STEVENS: Well, on other findings,
8	is there a different standard of review of what the
9	district court found as opposed to what Congress found?
10	GENERAL CLEMENT: Well, Justice Stevens, I
11	may answer you this way. You might first want to
12	isolate those situations where, if the district court
13	was addressing something, an issue that just wasn't
14	before Congress at all, but it's somehow relevant, and
15	makes factual findings, I suppose the district court is
16	entitled to the normal kind of deference on review.
17	But I think if you have situations, which
18	you have in this case, where the district court heard
19	some of the same witnesses who testified before Congress
20	and before the district court, and the district court
21	makes a different credibility finding than the Congress
22	made, I don't think that's a basis for the district
23	court to be able to overcome the contrary findings of
24	Congress.
25	JUSTICE STEVENS: Well, I don't understand

- 1 Congress to have made credibility findings. As I read
- 2 the -- I read the whole finding. There were six or
- 3 seven pages of findings, and I don't find a single
- 4 reference in those findings to the performance of an
- 5 abortion on a nonviable fetus. All of the language in
- 6 the findings seem to be referring to viable fetuses just
- 7 inches away from becoming a person. And I don't think
- 8 you can even find the word fetus in those findings. The
- 9 findings as opposed to the text of the statute.
- 10 GENERAL CLEMENT: Sure, Justice Stevens, I
- 11 think I need to clarify an important point there, which
- 12 is to say, the statute didn't focus on viable versus
- 13 nonviable, because it applies to both sides of the
- 14 viability line.
- 15 JUSTICE STEVENS: I'm talking about the
- 16 findings. Is there a single word in the findings that
- 17 refers to a viable fetus? It maybe refers to a
- 18 nonviable fetus.
- 19 GENERAL CLEMENT: I don't think there is,
- 20 Justice Stevens, but I wouldn't find that at all
- 21 remarkable in a statute that applies and bans certain
- 22 procedures without regard to whether the procedure is
- 23 applied to a viable or non-viable fetus and when
- 24 Congress does make specific findings that the procedure
- 25 it's banning would have the effect of preventing a

- 1 lethal act on a fetus just inches from being born. It's
- 2 not --
- JUSTICE STEVENS: May I interrupt?
- 4 GENERAL CLEMENT: Sure.
- 5 JUSTICE STEVENS: It's requiring that the
- 6 lethal act be performed prior to any part of the
- 7 delivery, because there is no doubt there will be a
- 8 lethal act. The only issue is when it may be performed.
- 9 GENERAL CLEMENT: The issue is whether --
- 10 JUSTICE STEVENS: Yes.
- 11 GENERAL CLEMENT: Yes. Because the issue is
- 12 whether it's going to be performed in Ute row --
- 13 JUSTICE STEVENS: Whether the feet are more
- 14 than halfway out, and some of these fetuses I understand
- in the procedure, are only four or five inches long.
- 16 They are very different from fully formed babies.
- 17 GENERAL CLEMENT: Justice Stevens, again,
- 18 you're right.
- 19 JUSTICE SCALIA: When it's halfway out, I
- 20 guess you can call it either a child or a fetus.
- 21 GENERAL CLEMENT: I think you could use
- 22 either terminology, Justice Scalia. My point is,
- 23 nothing turns on the terminology. I mean, the
- 24 terminology that Congress chose to use is a living
- 25 fetus. I think the point, though, is that when fetal

- demise is induced in utero, whatever else you think
- 2 about that procedure that is classically an abortion, as
- 3 it has been always understood. But when fetal demise is
- 4 induced when the, when the living fetus is over halfway
- 5 outside of the womb, then I think Congress --
- 6 JUSTICE STEVENS: Wouldn't the fetus be -- I
- 7 think it suffer a demise in seconds anyway.
- 8 GENERAL CLEMENT: Well it may be seconds, it
- 9 may be hours; it depends on -- because even a pre --
- 10 JUSTICE STEVENS: Do you not agree that it
- 11 has no chance of surviving, in most cases?
- 12 GENERAL CLEMENT: If we are talking about
- 13 previability then by definition chances are it won't
- 14 survive.
- 15 JUSTICE STEVENS: Yes, that's right.
- 16 GENERAL CLEMENT: But again, I don't think
- 17 that, you know, that anything in this act --
- 18 JUSTICE STEVENS: Congress has made the
- 19 judgment that it is far preferable to ensure that fetal
- 20 demise takes place before any delivery begins. That's
- 21 the big issue.
- 22 GENERAL CLEMENT: Well, I'm not sure if it's
- 23 whether, that's a fair, that's a fair summary. I mean,
- 24 you know, the line isn't that fetal demise has to be
- 25 done before any delivery begins, but the basic point of

- 1 this statute is to draw a bright line between a
- 2 procedure that induces fetal demise in utero and one
- 3 where the lethal act occurs when the child or the fetus,
- 4 whichever you want to call it, is more than halfway
- 5 outside of the mother's womb.
- JUSTICE SCALIA: Would it, would it be
- 7 lawful or would it be infanticide to deliver the fetus
- 8 entirely and just let it expire without any attempt to
- 9 keep it alive?
- 10 GENERAL CLEMENT: Well, in the
- 11 post-viability context it would clearly be, it would
- 12 clearly be infanticide. I think in the pre-viability
- 13 context, if you have a complete delivery but the child
- 14 isn't going to survive, I don't think it would be
- 15 infanticide to necessarily let the child expire --
- JUSTICE GINSBURG: Mr. --
- 17 GENERAL CLEMENT: But I do think by contrast
- if somebody tried to, with the fetus, you know,
- 19 perfectly alive and in the hours that it might have to
- 20 live, if somebody came in and ripped its head open, I
- 21 think we'd call that murder, and in fact Congress passed
- 22 another statute --
- JUSTICE GINSBURG: General Clement, that's
- 24 not what this case is about, because I think you have
- 25 recognized, quite appropriately, that we're not talking

- 1 about whether any fetus will be preserved by this
- 2 legislation. The only question that you are raising is
- 3 whether Congress can ban a certain method of performing
- 4 an abortion. So anything about infanticide, babies, all
- 5 that, is just beside the point because what this bans is
- 6 a method of abortion. It doesn't preserve any fetus
- 7 because you just do it inside the womb instead of
- 8 outside.
- 9 GENERAL CLEMENT: Justice Ginsberg, that's
- 10 right, but I don't think that's to trivialize Congress's
- 11 interest in maintaining a bright line between abortion
- 12 and infanticide. And the way I would illustrate it is
- 13 that line, even if you might think it has a temporal
- 14 line, in the sense that viability versus previability is
- 15 relevant, it clearly has a spatial dimension as well and
- 16 the best illustration of that I think is think about a
- 17 lawful post-viability abortion. There is a problem with
- 18 the mother's health, there is a problem with her life so
- 19 it's a lawful post-viability abortion. I don't think
- 20 that anybody thinks that the law is or should be
- 21 indifferent to whether in that case fetal demise takes
- 22 place in utero or outside the mother's womb. The one is
- 23 abortion, the other is murder.
- 24 And I think that just recognizes that even
- 25 in the post-viability context you have a very important

- 1 line which is a spatial line, and that line is basically
- 2 in womb, outside of womb, and what Congress tried to do
- 3 in this statute is to draw that line and differentiate
- 4 between one procedure where fetal demise takes place in
- 5 utero --
- 6 JUSTICE GINSBURG: But if this case were
- 7 limited to post-viability abortions it would be a
- 8 different matter. But isn't it so that the vast
- 9 majority of these abortions are going to be performed
- 10 pre-viability?
- 11 GENERAL CLEMENT: I think that's probably
- 12 right, Justice Ginsburg, but I think the point I would
- 13 make is that Congress has an interest in maintaining the
- 14 spatial line between infanticide and abortion, even with
- 15 respect to pre-viability fetuses and that's true for at
- 16 least two reasons.
- JUSTICE BREYER: If -- I see what you're
- 18 driving at in terms of the procedure. We are focusing
- on a universe where the fetus is not going to survive no
- 20 matter what, right?
- 21 GENERAL CLEMENT: Right.
- JUSTICE BREYER: Okay. So we are not
- 23 talking about anyone being born and living. They are
- 24 not going to.
- 25 GENERAL CLEMENT: Well, with the caveat gnat

- 1 statute does apply both --
- 2 JUSTICE BREYER: And that's the area of
- 3 focus.
- 4 GENERAL CLEMENT: Right.
- 5 JUSTICE BREYER: Now, Congress has said the
- 6 doctor, you can achieve that result through method A,
- 7 but not through method B, and you're saying Congress had
- 8 good reason for doing that. I take it Congress also
- 9 agrees that if method B, which they don't want, were to
- 10 be necessary for the safety or health of the mother, the
- 11 Constitution would require it being done. I didn't see
- 12 anything here about Congress disagreeing with that.
- GENERAL CLEMENT: Oh, I think that's right,
- 14 Justice Breyer. I think this, Congress --
- 15 JUSTICE BREYER: All right. If that's
- 16 right --
- 17 GENERAL CLEMENT: -- took this Court's
- 18 Stenberg's decision as a given --
- 19 JUSTICE BREYER: Right. Fine. Okay. They
- 20 make a finding that although we don't disagree with
- 21 that, we don't think it's ever necessary for the health
- 22 or safety of the mother. That's where we are. Now as I
- look at the record, I see many, many, many doctors
- 24 telling Congress and everybody else that it is
- 25 necessary, and safe. And I see other doctors telling

- 1 Congress primarily, but in court, too, that it isn't
- 2 necessary, ever for safety.
- 3 And so if medical opinion is divided, and
- 4 I'm not advocating what I'm about to say, I just want to
- 5 know your reaction. If medical opinion is divided, why
- 6 wouldn't it be up to this Court or could this Court say
- 7 this use of this procedure, we enjoin the statute to
- 8 permit its use but only where appropriate medical
- 9 opinion finds it necessary for the safety or health of
- 10 the mother?
- 11 Now, if Congress is right, there will be no
- 12 such case so it's no problem. But if Congress is wrong,
- 13 then the doctor will be able to perform the procedure
- 14 and Congress couldn't object to that because the
- 15 Congress isn't worried about, I mean Congress, then
- 16 Congress was wrong. They agreed that we had a health or
- 17 safety exception.
- 18 GENERAL CLEMENT: With respect, Justice
- 19 Brever, here is the problem with that way of approaching
- 20 the statute. That might be a permissible way of
- 21 approaching it if what the evidence on the other side
- 22 was, that well you know there are cause-specific reasons
- 23 why you need this procedure. There are particular
- 24 conditions where you need this procedure. But that's
- 25 not the evidence on the other side. What their doctors

- 1 say, the doctors who perform this D&X procedure, the
- 2 Dr. Chasens, the Dr. Fredericksons, what they will tell
- 3 you is that every single case the D&X procedure is
- 4 better and safer and they want to do it. And so it
- 5 doesn't make, I mean Congress can't pass a statute that
- 6 bans procedure A, and that ban doesn't apply any time a
- 7 doctor prefers procedure A.
- 8 JUSTICE BREYER: No. It just wouldn't be a
- 9 question of the doctor's preference. You would have to
- 10 refer back to prior cases, and what the prior cases talk
- 11 about including Stenberg is not that that the doctor
- 12 simply has a preference, but rather that there has to be
- 13 a significant body of medical opinion that says that
- 14 this a safer procedure and necessary for the safety of
- 15 the mother.
- Now, where that's true, the Court has
- 17 previously said that the Constitution protects the
- 18 right. And I don't see anything in what Congress says
- 19 that wants to change that law. They simply have a
- 20 different view of the facts.
- 21 GENERAL CLEMENT: Well, they do have a
- 22 different view of the facts. And I guess the question
- 23 --
- JUSTICE BREYER: So if they have a different
- 25 view of the facts, why can't we leave it up to whatever

- 1 facts develop? If there is an appropriate body of
- 2 medical opinion that does in fact believe this is
- 3 necessary for the health of the mother, so be it, and
- 4 the abortion could be performed and the injunction would
- 5 say that.
- GENERAL CLEMENT: Well, I think --
- 7 JUSTICE BREYER: And otherwise not.
- 8 GENERAL CLEMENT: If this Court rejects the
- 9 facial challenge to this statute it is still going to be
- 10 open for litigants in the future to try to identify
- 11 certain conditions where this procedure is the safer
- 12 alternative.
- 13 JUSTICE KENNEDY: Can you tell me a
- 14 hypothetical instance in which where an as applied
- 15 challenge could be brought if we sustain the procedure
- 16 on its face? The procedure has to take place within 24,
- 17 48, 72 hours. How would as applied challenge take case?
- 18 And I have read all the doctors' testimony in this case
- 19 in this case, hundreds of pages, and I'm familiar with
- 20 the area generally. But it takes a while to get up to
- 21 speed. I don't know if you could just go to a district
- 22 judge and say I need an order, the judge would take --
- 23 would have to take many hours to understand that.
- GENERAL CLEMENT: Justice Kennedy, what I
- 25 think I have in mind principally would be a

- 1 pre-enforcement challenge that was an as applied
- 2 challenge. And what I have in mind, you know that's
- 3 something that there is in other areas of the law,
- 4 Steffl against Thompson is an example. But what you
- 5 would have in mind is a doctor who had standing under
- 6 this Court's abortion jurisprudence would come in and
- 7 say, look, in my practice I've seen that this procedure
- 8 would be particularly useful in dealing with
- 9 preeclampsia or placental previa or some condition.
- 10 JUSTICE KENNEDY: Why isn't that already in
- 11 the, then, in the Ninth Circuit, in the Second Circuit
- 12 and in the Eighth Circuit, in the district courts,
- 13 proceedings in those circuits?
- 14 GENERAL CLEMENT: Well, there is an effort
- 15 to make that showing. I don't think that it's been a
- 16 successful effort to make that showing. In fact I think
- 17 if you look at the findings of the district courts in
- 18 these cases, two of the three district courts found that
- 19 there was no particular condition where the D&X abortion
- 20 was medically necessary or had marginal safe benefits --
- 21 safety benefits. In this case, the Nebraska case, the
- 22 district court identified only two conditions,
- 23 preeclampsia combined with maternal cancer, and placenta
- 24 previa. And as to those particular findings as we point
- 25 out in our reply brief, there are problems with each of

- 1 these findings.
- 2 JUSTICE KENNEDY: General Clement, I'm just
- 3 thinking, trying to imagine how an as applied challenge
- 4 would be really much different from what we have seen
- 5 already.
- GENERAL CLEMENT: Well, I don't think, I
- 7 mean, they've challenge everything including every
- 8 application of the statute and they've tried to pick off
- 9 some particular conditions. What I'm imagining is in
- 10 the future you might have, you might have additional
- 11 evidence, you might have additional experience with
- 12 doctors, and they might come in and target their
- 13 challenge to particular conditions and try to say --
- 14 JUSTICE GINSBURG: But General -- General
- 15 Clement, conditions don't show up in the abstract.
- 16 Wouldn't it often be the case that it depends on the
- 17 vulnerability of the particular patient and you couldn't
- 18 bring a pre-enforcement challenge as to that. Maybe
- 19 it's a question of hemorrhaging, that -- it's a
- 20 combination of what the condition is and the
- 21 vulnerability of the particular patient and I don't see
- 22 how that could be tested in advance.
- 23 GENERAL CLEMENT: Well, Justice Ginsburg my
- 24 understanding is even when you talk about an
- 25 idiosyncratic condition, I mean, the doctors who perform

- 1 these abortions perform, you know, hundreds of them a
- 2 year and they can identify those conditions and they
- 3 have names for those conditions and I think it would be
- 4 amenable to bringing a more as applied challenge.
- 5 CHIEF JUSTICE ROBERTS: General, do you
- 6 understand the scope of this statute to be different
- 7 than the scope of the statute at issue in Stenberg,
- 8 focusing in particular on the deliberate and intentional
- 9 language?
- 10 GENERAL CLEMENT: I certainly do, Mr. Chief
- 11 Justice, and I think that this statute, unlike the
- 12 Nebraska statute, clearly uses an anatomical landmark
- 13 approach that is based in the text of the statute and
- 14 clearly distinguishes between the D&E procedure on one
- 15 hand and the D&X on the other hand.
- 16 JUSTICE SOUTER: But isn't it quite
- independent of the anatomical approach that the health
- 18 exception is denied? I mean that's an -- that does not
- 19 depend on the anatomical approach. The anatomical
- 20 approach may be well be an answer at the facial
- 21 challenge stage, to problems of vagueness, for example.
- 22 But the health problem is not affected by that. And the
- 23 difficulty that I have with your argument that somehow
- 24 the health exception issue should be left to an as
- 25 applied challenge is the statement in Stenberg, and it's

- 1 on 938.
- 2 I'm quoting: "But where substantial medical
- 3 authority supports the proposition that banning a
- 4 particular abortion procedure could endanger women's
- 5 health, Casey requires the statute to include a health
- 6 exception where the procedure is necessary in
- 7 appropriate medical judgment for the preservation --"
- 8 -- excuse me -- "of the life or health of the mother."
- Now, your position, it seems to me, requires
- 10 us to do one of three things. Either we, we overrule
- 11 Stenberg in that respect, or we, we find -- I don't know
- 12 how but we might find, well, in this case, there is no
- 13 substantial medical authority, and therefore on the face
- 14 of the statute there seems to be no impediment in the
- 15 Stenberg statement. Or three, we say well, there seems
- 16 to be a tension between the showing of substantial
- 17 medical authority which occurred in the litigation in
- 18 these cases and the findings made by Congress, and under
- 19 those circumstances in effect we are required to ignore
- 20 the record in the cases and go with Congress's
- 21 apparently contrary judgment.
- Which of the three do we take?
- 23 GENERAL CLEMENT: Well, we would urge you to
- 24 take any one of them.
- 25 JUSTICE SOUTER: Take all three.

1	(Laughter.)
2	JUDGE SOUTER: No, but seriously
3	GENERAL CLEMENT: But in fairness, I mean,
4	you know, we have an obligation to defend the statute.
5	So our first, you know, our first effort would be to say
6	we distinguish the
7	JUSTICE SOUTER: Okay, but the problem, I
8	guess focus the problem this way. The, the Stenberg
9	opinion talks about substantial medical authority as
10	triggering this requirement for a statutory element.
11	That problem is not focused simply by saying Congress
12	made some findings and the district court made other
13	findings and Congress should prevail.
14	The fact is the substantial medical judgment
15	finding I would suppose is satisfied by the, by the
16	record in the district courts in these cases. This is
17	not one doctor's idiosyncratic judgment and a court can
18	reasonably find, it seems to me, that there is
19	substantial medical judgment. If we are going to defer,
20	as you say we should defer to Congress, haven't we got
21	to overrule that statement?
22	GENERAL CLEMENT: I don't think so, Justice
23	Souter. Let me just I'd like to save some time for
24	rebuttal, but let me try to answer it this way, which is
25	our way of looking at Stenberg is Stenberg really

- 1 doesn't address what you do when there are congressional
- 2 findings. And there is some tension between Stenberg
- 3 and Turner on this, because Stenberg seems to suggest,
- 4 well, when there is a doubt, the kind of doubt that
- 5 would normally get you past a summary judgment, you
- 6 defer to the doctors, and Turner seems to suggest when
- 7 you have a doubt, conflicting evidence, the kind of
- 8 doubt that might get you past summary judgment normally,
- 9 you defer to Congress. And it has to be one or the
- 10 other. It can't go both ways, can't go opposite ways,
- 11 and we would say resolve that tension, but when there is
- 12 congressional findings, something that you obviously
- 13 didn't have to confront in Stenberg, defer to the
- 14 congressional approach.
- 15 If Stenberg means something contrary, that
- 16 even in the face of congressional findings that you have
- 17 to defer to a minority opinion of doctors and, you know,
- 18 kind of invert what would normally be the way of
- 19 approaching it, we think then that would be inconsistent
- 20 with this Court's decision in Casey, among others, and
- 21 you should revisit Stenberg to that effect, to that
- 22 extent.
- Thank you.
- 24 CHIEF JUSTICE ROBERTS: Thank you, General.
- 25 Miss Smith.

1	ORAL ARGUMENT OF PRISCILLA SMITH
2	ON BEHALF OF RESPONDENT
3	MS. SMITH: Mr. Chief Justice and may it
4	please the Court:
5	The government throughout this case has
6	quarreled with the plaintiff's statement of Stenberg and
7	Congress quarreled clearly with the district court
8	findings, but their real argument here is with this
9	Court in the Court's ruling in Stenberg, particularly in
LO	light of the congressional findings that are, that are
L1	frankly unsupported by either the congressional record
L2	or the additional evidence presented to the district
L3	courts. The only course here that preserves
L 4	independence of the judiciary, that exemplifies the
L5	importance of stare decisis, not to mention the only
L6	course that will protect women from needless risks of
L7	uterine perforation, infertility, sepsis and hemorrhage,
L8	is to hold this act unconstitutional.
L9	JUSTICE KENNEDY: Can you tell me I
20	didn't find it in the materials. Maybe the statistics
21	aren't available. In the cases where intact D&E or D&Xs
22	are performed in the period I guess, what, 16 through
23	20, 21st, 22nd weeks, in how many of those instances, do
24	you have any idea, in how many of those instances is
25	there serious health risk to the mother that requires

- 1 the procedure as opposed to simply being an elective
- 2 procedure? Are there any statistics on that?
- 3 MS. SMITH: No. In terms of the underlying
- 4 medical conditions there really aren't, Your Honor, and
- 5 it varies dramatically according to the practice of the
- 6 physician. If a physician is in a high risk OBGYN
- 7 practice, he or she is much more likely to encounter
- 8 patients with serious underlying medical conditions such
- 9 as the ones that the doctors have testified about in
- 10 this case, the liver disease, kidney disease, heart,
- 11 cardiovascular disease, cancer of the placenta, bleeding
- 12 placenta previa, all of these issues and underlying
- 13 conditions that makes the impact and the risks that are
- 14 reduced by the intact D&E particularly important.
- 15 CHIEF JUSTICE ROBERTS: We have no evidence
- 16 either in the record before the Court or Congress as to
- 17 how often that situation arises?
- 18 MS. SMITH: No, we don't, Your Honor. We
- 19 know that in some practices it's quite frequent, in some
- 20 practices it's not as frequent because those are mostly
- 21 hospital-based practices. But on the other hand,
- there's extensive evidence in this case, much more
- 23 evidence frankly, Your Honor, Justice Breyer, than there
- 24 was in the Stenberg case, of the, of the --
- JUSTICE KENNEDY: A have just other question

- 1 that's generally related to the first. If there is
- 2 substantial evidence that other procedures or alternate
- 3 procedures are available, alternate to D&X, alternate to
- 4 intact D&E, is your response that, although they're
- 5 available as a matter of science, as a matter of, of
- 6 medical expertise, they are not available because
- 7 hospitals don't allow the patients to be admitted? I
- 8 was going to ask that same question to the government,
- 9 because there is some indication in the record that
- 10 certain hospitals just don't admit patients for this
- 11 purpose, which is -- goes back to my earlier question.
- 12 I was wondering if that's because it's shearly elective.
- MS. SMITH: Because it's what sir?
- JUSTICE KENNEDY: Because it's purely
- 15 elective and not medically necessary.
- 16 MS. SMITH: No, Your Honor. Hospitals,
- 17 many, many hospitals throughout the United States refuse
- 18 to provide any abortions whatsoever as just a blanket
- 19 rule. There are some that will provide abortions in
- 20 certain, in certain circumstances where the woman is
- 21 obtaining the abortion because of a certain medical
- 22 condition. Then there are women who are obtaining an
- 23 abortion because they have chosen that that's the best
- 24 course for them who also have underlying medical
- 25 conditions. So if you're a woman who has chosen to

- 1 obtain an abortion and you have an underlying cardiac
- 2 disease, for example -- we had a case like this in
- 3 Louisiana. The hospital refused to do the abortion
- 4 because her chance of dying from the underlying medical
- 5 condition was not over 50 percent. So the availability
- of hospital services is somewhat unrelated to this case,
- 7 but it is, it is quite limited in some circumstances.
- JUSTICE KENNEDY: Well, it might be related
- 9 in the sense that the government's argument that there
- 10 are alternate mechanisms is not a practical alternative.
- 11 I was going to ask the government about that. On the
- 12 other hand, the fact that any number of hospitals don't
- 13 allow the procedure is also indicated, indication that
- 14 there is a medical opinion against it.
- 15 MS. SMITH: No, not at all, Your Honor. The
- 16 medical opinion in those cases is against abortion
- 17 whatsoever and a refusal to use one's facilities to
- 18 provide any abortion --
- 19 JUSTICE BREYER: So in terms of --
- 20 MS. SMITH: -- of any kind, not about any
- 21 particular procedure.
- I'm sorry, Justice Breyer.
- JUSTICE BREYER: I didn't like your
- 24 characterization and the government's of the state of
- 25 the record. I asked my law clerk basically to go look

- 1 up every statement that was made in four forums. The
- 2 first was the first Stenberg case. Second was Congress.
- 3 Third is this, one of the cases here; and the fourth is
- 4 the other case here. Now, my own impression of that is
- 5 if you're talking about the medical need for such a
- 6 case, that is for intact D&E, that there is a risk
- 7 attached if you don't use it in some instances. The
- 8 fewest number of statements for that proposition was in
- 9 the first Stenberg.
- 10 MS. SMITH: Yes.
- 11 JUSTICE BREYER: More statements in
- 12 Congress, more statements that you -- doctors who say, I
- 13 need this procedure for safety.
- MS. SMITH: There are many more in this --
- 15 JUSTICE BREYER: There are many more in this
- 16 case than there were -- in these two cases there are
- 17 many more than there were in Congress and in Congress
- 18 there are many more than they were in first Stenberg.
- 19 MS. SMITH: That's right.
- JUSTICE BREYER: Now, if we look to the
- 21 other side of the coin, the doctors who say, no, it
- isn't safe, there I'd have to say there are probably
- 23 many more in Congress than there are -- who say it isn't
- 24 safe, there are probably many more in Congress; and then
- 25 there are some in these cases, too; and there are hardly

- 1 any in Stenberg, not too many.
- 2 MS. SMITH: Well, there is --
- JUSTICE BREYER: It was against you, in
- 4 other words.
- 5 MS. SMITH: There are many letters written
- 6 to Congress that are in the record. In terms of live
- 7 witnesses, Your Honor --
- 8 JUSTICE BREYER: Yes.
- 9 MS. SMITH: -- there were in Congress eight
- 10 live witnesses that testified.
- JUSTICE BREYER: All right, so I'm left with
- 12 a record where I guess you have a subjective
- 13 characterization that there is at least as much evidence
- in these cases supporting you and as much in Congress
- 15 supporting you as there was in the first Stenberg case.
- 16 But Congress made this finding, so what am I to do with
- 17 the finding?
- 18 MS. SMITH: Right. Well, the important
- 19 point, Your Honor, is that even if the Court applied the
- 20 highest level of deference under Turner, the findings
- 21 would be rejected and must be rejected, as all three
- 22 district courts, held because they're simply
- 23 unreasonable even under a Turner standard.
- 24 JUSTICE GINSBURG: Ms. Smith, was the
- 25 statement of the American college Obstetricians and

- 1 Gynecologists before Congress?
- MS. SMITH: Yes, Your Honor, it was, as was
- 3 the brief that was filed, the amicus brief that was
- 4 filed in this case in Stenberg was before Congress, and
- 5 also testimony from numerous physicians in the form of a
- 6 letter. In terms of live witnesses, there were simply
- 7 not that many.
- 8 CHIEF JUSTICE ROBERTS: We'll give you an
- 9 extra 30 seconds. Proceed.
- 10 MS. SMITH: That's fine, Your Honor. I've
- 11 lost track of my thought, however, I think.
- 12 I think from the statement there were eight
- 13 witnesses who testified live.
- 14 JUSTICE BREYER: My question basically I
- 15 think you might have been going after is, I was saying
- 16 that I agreed with you in that there is more evidence
- 17 supporting your side in these cases than there was
- 18 before Congress, than there was in first Stenberg.
- 19 MS. SMITH: Yes.
- JUSTICE BREYER: But still there was a
- 21 finding in Congress and there wasn't a finding in the
- 22 Nebraska legislature, and so does that fact of the
- 23 finding being in Congress and not in the Nebraska
- 24 legislature -- what kind of legal difference does that
- 25 make?

1 MS. SMITH: And Your Honor, what I would say 2 in this case, it makes none. While it's an extremely interesting academic question about the level of 3 4 deference that should be applied in this kind of 5 circumstance, here it really is academic because under, 6 even under the Turner standard, if applied in a way that 7 Turner actually applied deference, to carefully review the findings in light of the evidence in Congress and 8 again in light of the evidence in the district court --9 10 JUSTICE STEVENS: May I ask you this question about what you think we should do. If I 11 thought the evidence did support the conclusion that 12 13 it's never medically necessary, it merely -- the 14 evidence merely supports the proposition that a doctor 15 has to be a lot more careful if he goes one way rather 16 than the other because there are more risks involved in 17 one procedure rather than the other, would that be 18 sufficient to support the -- I can see the argument that 19 the intact delivery may have less risk of complications 20 and so forth without it not necessarily being absolutely 21 necessary. 22 MS. SMITH: Well, I think there is, there's been some confusion about the word "necessary" and it's 23 24 been used sometimes to talk about whether there are other procedures that could be used, as opposed to the 25

- 1 determination that it is the safest procedure that
- 2 reduces significantly the risk of very serious
- 3 complications, not the risks of minor complications.
- 4 CHIEF JUSTICE ROBERTS: I guess that gets
- 5 back to the point earlier. I mean, do you agree with
- 6 the discussion earlier that this act is not going to
- 7 prevent abortions?
- 8 MS. SMITH: No, not at all, Your Honor. I
- 9 -- the issue of the scope and breadth of the law is -- I
- 10 think the evidence clearly shows that this is a very
- 11 broad law that applies to D&E abortions and, contrary to
- 12 what the Solicitor General said about the intent of
- 13 abortions, abortion providers like Dr. Rabacker and
- 14 others, they actually, their intent is always to remove
- 15 the fetus as intact as possible, and the district courts
- 16 have recognized that as an intent that's covered under
- 17 the terms of the act.
- 18 CHIEF JUSTICE ROBERTS: What degree of
- 19 marginal impact on safety do you think is necessary to
- 20 override the State's interest? I mean, if you have
- 21 complications under the D&E procedure in say 10 percent
- of the cases, complications under D&X in 9.99 percent of
- 23 the case s, is that marginal benefit in safety enough to
- 24 override the State's articulated interest?
- MS. SMITH: I don't believe a marginal

- 1 benefit in safety is enough and I don't believe that's
- 2 what we have here. The testimony from over, from at
- 3 least 11 board-certified OBGYNs, from the American
- 4 College of Obstetricians and Gynecologists, is that the
- 5 reduction in risk is significant and that it reduces the
- 6 risk of serious complications, such as uterine
- 7 perforation, which that lead to hysterectomies and
- 8 infertility.
- 9 CHIEF JUSTICE ROBERTS: But I thought your
- 10 submission earlier is that we don't have any record
- 11 evidence about how often the complications arise, so
- 12 it's hard to get a handle on exactly what the difference
- is in terms of safety under your submission.
- MS. SMITH: We don't have a quantification
- 15 of the safety. What we what we have is the clinical
- 16 experience of major leading physicians in the field,
- 17 who've testified that they've used both procedures. In
- 18 fact, many of them have testified that they perforated
- 19 uteruses in non-intact D&Es and they've never perforated
- 20 a uterus in an intact D&E. And that in fact is borne
- 21 out by the Chasen study, a very small study with very
- 22 small numbers, but it shows all the serious
- 23 complications are in the non-intact group.
- JUSTICE GINSBURG: If we could go back to
- 25 the first question that the Chief asked you, you said

- 1 yes, it will prevent abortions because of this uncertain
- line between the D&X and the D&E. Is there a way that
- 3 Congress could have written the statute that would have
- 4 insulated the physician who's performing a D&E?
- 5 MS. SMITH: Absolutely, Your Honor. I think
- 6 that the blueprint that this Court laid out, that
- 7 certainly is suggested in Justice O'Connor's concurrence
- 8 in Stenberg, was rejected by Congress. She references
- 9 three statutes, that if they had included a health
- 10 exception, she thinks would have been constitutional.
- 11 They all include the word intact.
- 12 I think there's another narrower
- 13 construction of the Act too that is possible. Adding in
- 14 the word intact, reading in the word intact, it seems to
- 15 me, is not a reasonable interpretation of the statute as
- 16 it is, but certainly Congress could have done that and
- 17 other states have done it, but Congress set out not to
- 18 do that.
- 19 JUSTICE SOUTER: May I ask you to focus on
- 20 one particular problem that I think is implicated by
- 21 Justice Ginsburg's question. If I understood you
- 22 correctly a moment ago, and I think this is in your
- 23 briefs too, you said that the definitional problem is
- 24 that doctors always set out to do an intact procedure if
- 25 they can, because it involves less risk to the mother

- 1 from, from acts performed inside. And if that's the
- 2 case, then it would be, I guess in the real world, very
- 3 difficult for Congress to define a difference between
- 4 D&E and D&X, because the intention is always, as you
- 5 understand it, to have an intact result.
- 6 Your brother on the other side, the
- 7 Solicitor General says there certainly is testimony to
- 8 the effect that that is not so. That doctors who intend
- 9 to perform a D&E simply intend at the beginning to have
- 10 a lesser degree of dilation which will force them to do
- 11 the D&E and not have a totally intact procedure.
- 12 Would you comment on what I think is the
- 13 factual difference between you and the Solicitor General
- 14 there?
- 15 MS. SMITH: Yes, Your Honor. The -- the
- 16 problem with the law is that because it's not limited to
- 17 intact, it would in fact cover the procedures that are
- 18 performed by physicians who intend to perform a
- 19 procedure as intact as possible but simply don't expect
- 20 that.
- JUSTICE SOUTER: I understand that.
- MS. SMITH: Yes.
- JUSTICE SOUTER: But could you start simply
- 24 with the factual predicate for your argument and his
- 25 argument. You seem to be starting from, if I understand

- 1 the two of you correctly, you seem to be starting from
- 2 basically different factual assumptions. Could you,
- 3 could you start by commenting on that?
- 4 MS. SMITH: Yes. The doctors perform the
- 5 same dilation protocols whether they are going to
- 6 perform a D&E or an intact D&E, and that's true for
- 7 Dr. Chasen and Dr. Westhoff, who performed both intact
- 8 and non-intact procedures.
- 9 CHIEF JUSTICE ROBERTS: I thought the
- 10 evidence was that you're looking for a different degree
- of dilation if you're intending to perform D&E than if
- 12 -- and you're looking for a greater degree if you're
- 13 intending to perform a D&X.
- MS. SMITH: It doesn't play out that way.
- 15 Doctors do have different dilation protocols, but they
- 16 are often looking for as much dilation as they can get.
- 17 On the other hand --
- 18 CHIEF JUSTICE ROBERTS: Is your submission
- 19 that there aren't dilation protocols if you're intending
- 20 a D&E and if you're intending a D&X, they're the same?
- 21 MS. SMITH: It varies by doctor. For
- 22 example, Dr. Carhart uses the same dilation protocol
- 23 whether he's going to do an intact or a non-intact.
- 24 Other doctors might try to do more dilation. And the
- 25 doctors, importantly, can't control the amount of

- 1 dilation they get, so a decision happens.
- JUSTICE SOUTER: Well, they may not be able
- 3 to control it in an absolute sense, but can't they go
- 4 about it in a way that would tend to produce less rather
- 5 than more dilation?
- 6 MS. SMITH: Not --
- JUSTICE SOUTER: It can't guarantee results,
- 8 but couldn't they at least start with a, I don't know
- 9 how you put it, a procedure that would be likely to
- 10 produce less rather than more, and hence come within the
- 11 safe harbor, if you will, of the statute?
- MS. SMITH: Well, they are always looking
- 13 for a minimal amount of dilation. Then people who chose
- 14 to do another day of dilation, for example, that could
- 15 add additional dilation. But for the first day of
- 16 dilation, no, Your Honor. They don't seek more or less
- 17 over one day. They might do a second day or --
- JUSTICE SOUTER: Well, you say they don't,
- 19 but my question is, can they? And the record may not
- 20 show this. I'm not asking you to answer the impossible,
- 21 but do we have evidence that would indicate that they
- 22 can or that they can't?
- MS. SMITH: Not in the first day of
- 24 dilation, no. They can't control how much dilation is
- 25 going to occur. They need a minimal amount and they are

- 1 not going to shoot for less than that.
- 2 JUSTICE SOUTER: Can you tell us where to
- 3 look in the record for the evidence on that?
- 4 MS. SMITH: Each doctor testifies about
- 5 their own dilation protocols, Your Honor, and I believe
- 6 that's in the Eighth Circuit appendix. Those -- those
- 7 -- portions of that testimony, and are cited more
- 8 specifically in the Eighth Circuit briefs, which goes
- 9 more into the factual detail, Your Honor, but I don't
- 10 have the cites right now. I'm sorry.
- 11 JUSTICE GINSBURG: If there were a health
- 12 exception --
- MS. SMITH: Yes.
- JUSTICE GINSBURG: The health of the woman,
- 15 would that obviate the vaqueness and overbreadth
- 16 problems that you bring up? Because then after we say
- 17 to the doctor, you put the health of your patients first
- 18 and if you think that it's riskier for her health to do
- 19 it one way than another way, then you pick this way. If
- 20 you had that, then wouldn't the concerns about
- 21 overbreadth fade?
- 22 MS. SMITH: Not if this is not limited to
- 23 intact, Your Honor, because then you would be limiting
- 24 D&E abortions, which is 95 percent of all abortions, to
- 25 circumstances where the doctor could prove that it was

- 1 in fact the safest procedure. And we've had doctors
- 2 testify in trial, for example, that they refused to
- 3 describe even intact or regular D&Es to their patients
- 4 because they believe induction is always safer. So
- 5 those doctors, I think would still be at risk, and it
- 6 would put 95 percent of second trimester abortions at
- 7 risk in that case, to prosecution for performing a D&E
- 8 when you should have been performing an induction
- 9 procedure.
- 10 CHIEF JUSTICE ROBERTS: Do you think the, on
- 11 the same issue I think, that the addition of the
- 12 deliberately and intentionally language in the
- 13 congressional act addresses that concern?
- MS. SMITH: No, Your Honor, because actually
- 15 that same language is in the Stenberg, the Nebraska
- 16 statute. It also was targeted at deliberately
- 17 intentionally. I do think that if there is a
- 18 construction that would narrow the law to a limited
- 19 amount of intact D&Es, if you read the "for the purpose
- 20 of" language in the statute, to be performing an overt
- 21 act for the sole purpose of completing delivery, then --
- 22 or rather -- I'm sorry. For the purpose of performing
- 23 an overt act that causes fetal demise, that does not
- 24 facilitate delivery of the statute -- of the fetus.
- 25 JUSTICE KENNEDY: That's what I was

1 wondering, because --2 MS. SMITH: I'm sorry. 3 JUSTICE KENNEDY: Suppose, this might help, suppose the physician testifies that I wanted to do a 4 5 non-intact, an in utero D&E, that that's, that was my 6 intent, that's what I wanted to do, that's what I always 7 want to do. In this case I had an intact delivery and 8 had no other choice. Are you saying that we could 9 interpret the statute to say that that is not the 10 prohibited criminal intent, he is immune from 11 prosecution in that case? MS. SMITH: No. I don't believe that's the 12 13 line that could be drawn, Your Honor, because anyone who 14 does a D&E is intending to remove the fetus as intact as 15 possible, and always can have the intent to go to the 16 anatomical landmark that's here. I'm suggesting a 17 different interpretation that uses the "for the purpose 18 of" language where it says for the purpose of performing 19 an overt act that the person knows will kill the 20 partially delivered living fetus. If that language was interpreted to be for the sole purpose of performing 21 fetal demise at that point, rather than what the doctors 22 23 do, which is perform the action that causes fetal demise 24 in order to facilitate delivery of the fetus. So if 25 it's not to facilitate delivery of the fetus --

- 1 JUSTICE KENNEDY: Well, give me one instance
- 2 in which your proposed interpretation would work in the
- 3 real world.
- 4 MS. SMITH: Well, there are allegations in
- 5 the Congressional Record, for example, in reference --
- 6 in Justice Thomas' dissent by Schaffer, Dr. Pamela
- 7 Smith, about circumstances where the physician actually
- 8 holds the fetus in the woman's body in order to cause
- 9 fetal demise, rather than causing fetal demise because
- 10 it's an integral part of removal of the fetus from the
- 11 woman's uterus. And those circumstances would be banned
- 12 under that interpretation.
- But I want to get back to the Turner point,
- 14 if I may for a minute, the issue of deference to
- 15 congressional finding.
- JUSTICE KENNEDY: Well, just on that last
- 17 point, I mean, we are interested of course in different
- 18 interpretations, but it just seems to me that your
- 19 interpretation would have very little practical effect.
- 20 MS. SMITH: Well, it would -- it would ban
- 21 certainly a certain type of intact procedure that was
- 22 discussed, and I think the image many people have of
- 23 "partial-birth abortion" frankly, that this is something
- 24 that's done gratuitously, not as an integral part of
- 25 making this procedure the safest for the woman, and

- 1 avoiding instrumentation and avoiding perforation and
- 2 hysterectomies, which are serious complications that
- 3 though rare, when they occur, they are catastrophic and
- 4 life changing and disastrous. So the numbers are not
- 5 high of any complications, but the complications when
- 6 they occur are, are devastating. And this is what the
- 7 doctors are experiencing when they perform intact D&Es,
- 8 that they are not having these types of complications.
- 9 So -- if I can move to the deference point,
- 10 I would like to talk a little bit about deference to
- 11 congressional findings because there is significant
- 12 authority from this Court of course, saying that where
- 13 there are danger signs of constitutional risks, as the
- 14 Court recently said in Randall versus Sorrell, that the
- 15 Court must independently and carefully review
- 16 congressional findings. And the Court has rejected
- 17 findings that attempted to change either by findings of
- 18 fact or legal findings, that attempted to change a
- 19 constitutional standard.
- But in any case, the findings in this case
- 21 are simply unreasonable and not supported by the
- 22 evidence. If you go to the findings themselves, the
- 23 ultimate finding in 140, which claims that it is
- 24 actually relying on the preceding findings, it says,
- 25 "for these reasons, Congress finds that partial birth

- 1 abortion is never medically indicated," and then you go
- 2 backwards and look at the reasons. The reasons are the
- 3 findings that are not defended by the government, that
- 4 were not defended by the government witnesses and that
- 5 are blatantly false, except for perhaps one of them.
- 6 There are findings of, that partial-birth
- 7 abortion poses serious risks. The government witnesses
- 8 agreed that this was not true.
- 9 Their findings that partial-birth abortion
- 10 is not taught in medical schools. Of course, we know
- 11 that is simply not true, it's an integral part of
- 12 abortion training at major medical institutions like
- 13 Cornell, Columbia, Yale, NYU, Northwestern, etc.
- 14 It says that abortion, partial-birth
- 15 abortion is a disfavored practice along abortion
- 16 providers. That is absolutely not true.
- 17 And it says that there are no comparative
- 18 studies. We know now that is not true because the
- 19 Chasen study has come out, and is the first study of its
- 20 kind to try to evaluate the differences between intact
- 21 and non-intact. It is still true that there are no
- 22 controlled studies, there is no randomized clinical
- 23 trial, but if that were the standard, no new and safer
- 24 abortion procedures could ever be developed.
- 25 Turn back, Your Honors, to the health issue.

- 1 CHIEF JUSTICE ROBERTS: Could I ask you just
- 2 one thing?
- 3 MS. SMITH: Yes.
- 4 CHIEF JUSTICE ROBERTS: The statute, of
- 5 course, refers to both feet first and vertex deliveries.
- 6 How common is the vertex delivery in the D&X?
- 7 MS. SMITH: Not very common. Not very
- 8 common, Your Honor. It would occur in circumstances
- 9 where there is a significant fetal anomaly and some kind
- 10 of a, something called a sides, or another type of fetal
- 11 anomaly where there is a distension of the abdomen, but
- 12 it's very rare.
- 13 CHIEF JUSTICE ROBERTS: And in giving your
- 14 arguments toward the safety benefits of the D&X, I
- 15 couldn't understand why they wouldn't also apply to the
- 16 total delivery of the fetus in a vertex delivery
- 17 situation.
- 18 MS. SMITH: I'm sorry. I don't know if I
- 19 understand.
- 20 CHIEF JUSTICE ROBERTS: Well, my
- 21 understanding is that the vertex, the skull and head are
- 22 already outside the mother.
- MS. SMITH: Yes.
- 24 CHIEF JUSTICE ROBERTS: And the objection in
- 25 the feet first is that you want fewer instrument

- 1 passes and so on.
- 2 MS. SMITH: Yes.
- 3 CHIEF JUSTICE ROBERTS: But in that case,
- 4 it's not the skull itself that is preventing the
- 5 delivery of the fetus.
- 6 MS. SMITH: Right.
- 7 CHIEF JUSTICE ROBERTS: So your arguments
- 8 about why the D&X is safer than feet first, wouldn't
- 9 that apply in the case of total delivery of the fetus as
- 10 well? In other words, if you want as much of the fetus
- 11 intact and out as possible, why wait, stop it halfway?
- 12 Wouldn't the safety argument suggest delivery of the
- 13 fetus?
- MS. SMITH: Yes, but these are circumstances
- 15 where the fetus can't be delivered. That's the point,
- 16 Your Honor, is that the fetus is obstructed and so the
- 17 overt act that takes place is --
- 18 CHIEF JUSTICE ROBERTS: In the case of a
- 19 vertex delivery, where is the obstruction?
- MS. SMITH: The obstruction would come from
- 21 a distension of the abdomen, usually from a fetal
- 22 anomaly like a sides, which is, this is a serious
- 23 anomaly. It's lethal anomalies that I was talking
- 24 about. And in those circumstances, an overt act would
- 25 need to be performed that would in fact cause fetal

- 1 demise before the fetus could be, the delivery could be
- 2 continued.
- JUSTICE KENNEDY: It seems to me that your
- 4 argument is that there is always a constitutional right
- 5 to use what the physician thinks is the safest
- 6 procedure.
- 7 MS. SMITH: No, Your Honor. I think the --
- JUSTICE KENNEDY: I inferred that from your
- 9 comments.
- 10 MS. SMITH: I don't think so, Your Honor.
- 11 What, what the Court held in Stenberg in applying the
- 12 appropriate medical judgment standard of Casey, was that
- 13 there had to be a substantial body of medical opinion,
- 14 an objective standard that in fact supports the use of
- 15 that procedure. And that both, that balances concerns
- 16 against protecting a woman's health with a concern of
- 17 unfettered discretion, which the Court has rejected.
- 18 JUSTICE KENNEDY: So then, you think there
- 19 are instances in which the state can require that a
- 20 procedure be used, even if it's not the safest
- 21 procedure?
- MS. SMITH: I'm sorry. I --
- JUSTICE KENNEDY: So then, the --
- MS. SMITH: Yeah.
- JUSTICE KENNEDY: The obverse of the

- 1 proposition I put at first, it must be true that there
- 2 are some instances in which the state can prohibit a
- 3 procedure even if it is the safest procedure.
- 4 MS. SMITH: That's true, Your Honor, as long
- 5 as it doesn't pose an undue burden on the woman, which
- 6 as you know, certainly the circumstance with the D&E,
- 7 which is 95 percent of abortions, under the Stenberg
- 8 ruling.
- 9 CHIEF JUSTICE ROBERTS: Can I just follow up
- 10 on that?
- MS. SMITH: Yes.
- 12 CHIEF JUSTICE ROBERTS: I don't understand
- 13 that. In other words, the fact that it's not the safest
- 14 procedure does not itself constitute an undue burden?
- 15 In other words, under Justice Kennedy's hypothetical --
- 16 MS. SMITH: I don't understand what you
- mean.
- 18 CHIEF JUSTICE ROBERTS: He said that the
- 19 state can prohibit something even if it is the safest
- 20 procedure, and your answer was so long as it doesn't --
- MS. SMITH: No.
- 22 CHIEF JUSTICE ROBERTS: -- pose an undue
- 23 burden. And I was just following up to say that so, in
- 24 some circumstances, prohibiting what you think is the
- 25 safest procedure does not itself constitute an undue

- 1 burden.
- 2 MS. SMITH: No. I understood Justice
- 3 Kennedy's question to be, could the state prohibit what
- 4 it thinks is not the safest. And under the Stenberg
- 5 ruling, although the Court hasn't addressed that
- 6 question directly, under Stenberg what the Court has
- 7 said is, the Court can ban procedures only where there
- 8 is not significant medical authority supporting their
- 9 use as the safest procedure in some circumstances. So
- 10 perhaps I misunderstood your question.
- 11 But the Court has not ever addressed the
- 12 question, can we ban a procedure that's not the safest.
- 13 I think the ruling in Stenberg would say well, there has
- 14 to be significant medical authority that in some
- 15 circumstances it is the safest. The alternative
- 16 argument would be, but, if it is the procedure that's
- 17 used in 95 percent of the cases, or a vast majority of
- 18 the cases, and banning it would thereby deny women the
- 19 right to get an abortion and be a substantial obstacle
- 20 in their path in obtaining a legal abortion, that would
- 21 be the reason why you can't ban it.
- 22 CHIEF JUSTICE ROBERTS: Thank you,
- 23 Miss Smith.
- MS. SMITH: Thank you.
- 25 CHIEF JUSTICE ROBERTS: General Clement, you

Τ	have two minutes remaining.
2	REBUTTAL ARGUMENT OF PAUL D. CLEMENT
3	ON BEHALF OF PETITIONER
4	GENERAL CLEMENT: Thank you, Mr. Justice. I
5	would like to start with a few points in rebuttal.
6	I'd like to start with Justice Kennedy's
7	comment, and the answer to that is there will always be
8	an alternative available as a practical matter. The
9	alternative will always be the D&E procedure, which the
LO	district court in this case called the gold standard.
L1	And the best evidence of that, Justice Kennedy, is that
L2	their own witnesses like Dr. Chasen, for example, when
L3	they set out to perform the D&X procedure, they are only
L 4	successful about 33 percent of the time. What happens
L5	in the other 67 percent of the cases is they actually,
L 6	even though they tried to perform a D&X, will perform a
L7	D&E. And so all of the clinics that provide D&X also
L8	necessarily provide D&E, because the D&E is what they
L 9	end up with if they are not able to remove the fetus
20	intact. So in every single case, there are some, you
21	know, the induction procedure has to be done in a
22	hospital, but the D&X and D&E procedures are both
23	equally available in clinics, so no woman as either a
24	theoretical matter or a practical matter, is going to be
25	denied a safe alternative to end her pregnancy.

1	I wanted to pick up on Justice Souter's
2	question as well. You asked for factual citations in
3	the record on this dispute between us. I think the
4	record is really overwhelmingly in our favor. I point
5	you to Dr. Fitzhugh, who's one of the plaintiffs on this
6	side. 1305a, he says he doesn't try for intact delivery
7	in every case because it would necessitate a second
8	round of dilation, a second round of laminarias, so he
9	doesn't do the second round, he gets dismemberment.
10	Dr. Knorr, another one of the plaintiffs, at page 142a,
11	he says the procedure would require greater dilation.
12	And if I could just finish on the citations,
13	Dr. Vibhakar, who does dismemberment 100 percent of the
14	time, 148a all of these are in the petition appendix
15	of the district court opinion Dr. Cranen explains his
16	procedure at 174a to 177a. Thank you
17	CHIEF JUSTICE ROBERTS: Thank you, General.
18	The case is submitted.
19	(Whereupon, at 11:07 a.m., the case in the
20	above-entitled matter was submitted.)
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